

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hans-Peter-Weitzel et al.

Serial No.: 10/692,887

Filed: October 24, 2003

For: Protective-Colloid-Stabilized Polymers In The Form Of Their
Aqueous Dispersions Or Of Their Water Redispersible Powders

Attorney Docket No.: WAS 0606 PUS

Group Art Unit: 1713

Examiner: Kelechi Chidi Egwim

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
AND FEE UNDER 37 C.F.R. § 1.97(c)**

Mail Stop Amendment
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or PTO/SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., after the mailing date of a first Office Action on the merits but before the mailing of a final action or a notice of allowance, and includes the fee of \$ 180.00 as set forth under 37 C.F.R. § 1.17(p).

12/16/2005 DTESSEM1 00000008 10692887

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

12/13/2005
Date of Deposit

William G. Conger
Name of Person Signing

Signature

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the statement of Dr. Michael Schuderer describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Hans-Peter-Weitzel et al.

By: 

William G. Conger

Reg. No. 31,209

Attorney/Agent for Applicant

Date: 12/13/2005

BROOKS KUSHMAN P.C.
1000 Town Center, 22nd Floor
Southfield, MI 48075-1238
Phone: 248-358-4400
Fax: 248-358-3351



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
HANS-PETER WEITZEL ET AL. :
Serial Number: 10/692,887 : Group Art Unit: 1713
Filed: 10/24/2003 :
For: PROTECTIVE-COLLOID-STABILIZED POLYMERS IN THE FORM OF THEIR
AQUEOUS DISPERSIONS OR OF THEIR WATER-REDISPERSIBLE POWDERS:

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

I, Michael Schuderer, associated with the preparation and prosecution of the above-identified application, residing at Thurneysenstraße 22, 80687 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the opposition procedure against the corresponding EP-patent 1420033 and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For JP-A 6-80709 the corresponding English translation is enclosed.

EP-A 0 692 494 is already in English language.

EP-A 1 400 557 is already in English language.

For JP 2002-269600 the corresponding English translation is enclosed.

For JP 2002-269602 the corresponding English translation is enclosed.

CA-A 2311805 is already in English language.

For EP-A 1110978 an English Derwent Abstract is enclosed.

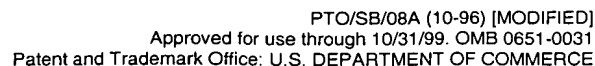
US-A 2001/0025078 is already in English language.

US-B 6,300,403 is already in English language.

Signed this 25 day of November, 2005.

A handwritten signature in black ink, consisting of stylized, overlapping loops and a horizontal line at the end.

.....
Dr. Michael Schuderer



Substitute for Form 1449A/PTO

(use as many sheets as necessary)

Complete if Known

Application Number	10/692,887
Filing Date	October 24, 2003
First Named Inventor	Hans-Peter-Weitzel et al.
Group Art Unit	1713
Examiner Name	Kelechi Chidi Egwim
Attorney Docket Number	WAS 0606 PUS

Sheet	1	of	2
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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		JP	6-80709		Kuraray Co. Ltd.	03/22/1994		
		EP	0 692 494 A1		Kuraray Co. Ltd.	01/17/1996		
		EP	1 400 557 A1		Kuraray Co. Ltd.	03/24/2004		
		JP	2002-269600		Kuraray Co. Ltd.	09/17/2002		
		JP	2002-269602		Kuraray Co. Ltd.	09/17/2002		
		CA	2 311 805		Wacker Polymer	06/15/2000		
		EP	1 110 978 A1		Wacker Polymer	06/27/2001		
		EP	1 420 033 B1		Wacker Polymer	08/25/2004		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/692,887	
			Filing Date	October 24, 2003	
			First Named Inventor	Hans-Peter-Weitzel et al.	
			Group Art Unit	1713	
			Examiner Name	Kelechi Chidi Egwim	
Sheet	2	of	2	Attorney Docket Number	WAS 0606 PUS

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		English translation corres. to JP 6-80709	
		English translation corres. to JP 2002-269600	
		English translation corres. to JP 2002-269602	
		Derwent Abstract corres. to EP 11 109 78 [AN 2001-515952]	

Examiner Signature		Date Considered	
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.